

Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

**NOTE:** The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

**Date of Report** (top-tier holding company's fiscal year-end):

**December 31, 2020**

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

I, **Robert A. Malone**

**First Sonora Bancshares, Inc.**

Legal Title of Holding Company

Name of the Holding Company Director and Official

**Chairman of the Board, President & CEO**

Title of the Holding Company Director and Official

**102 East Main Street**

(Mailing Address of the Holding Company) Street / P.O. Box

**Sonora TX 76950**

City State Zip Code

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Same as mailing address

Physical Location (if different from mailing address)

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

Person to whom questions about this report should be directed:

**Lincoln McKinnon Partner**

Name Title

**713-366-8525**

Area Code / Phone Number / Extension

**713-667-9147**

Area Code / FAX Number

**lmckinnon@bvccpa.com**

E-mail Address

N/A

Address (URL) for the Holding Company's web page

Signature of Holding Company Director and Official

**03/31/2021**

Date of Signature

For holding companies not registered with the SEC—  
 Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID \_\_\_\_\_  
 C.I. \_\_\_\_\_

Is confidential treatment requested for any portion of this report submission? 0=No  
1=Yes

In accordance with the General Instructions for this report (check only one),

- 1. a letter justifying this request is being provided along with the report
- 2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

## For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

\_\_\_\_\_  
Legal Title of Subsidiary Holding Company

\_\_\_\_\_  
(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Physical Location (if different from mailing address)

\_\_\_\_\_  
Legal Title of Subsidiary Holding Company

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(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

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City

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State

\_\_\_\_\_  
Zip Code

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Physical Location (if different from mailing address)

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(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

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City

\_\_\_\_\_  
State

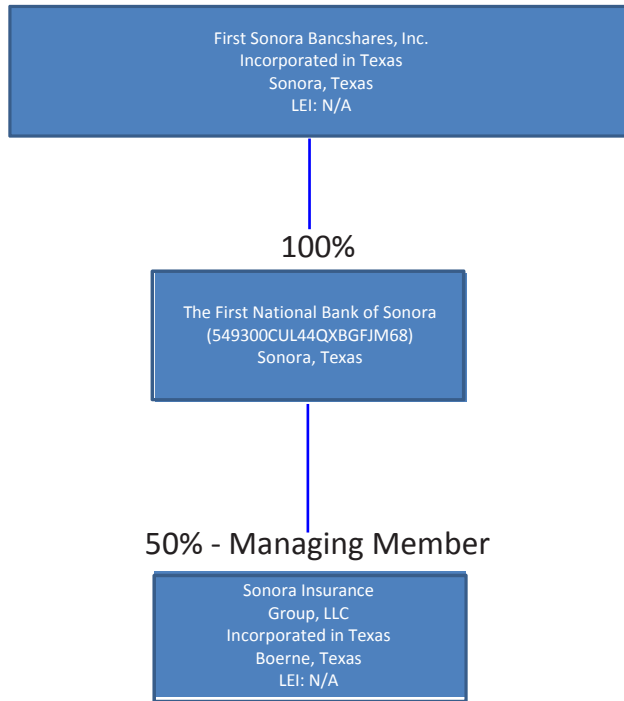
\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Physical Location (if different from mailing address)

**Report Item**

**1: There is no annual report provided to the Shareholders.**

**2a: Organizational Chart**



**Results:** A list of branches for your depository institution: **FIRST NATIONAL BANK OF SONORA, THE (ID\_RSSD: 591366)**.

This depository institution is held by **FIRST SONORA BANCSHARES, INC. (2184333)** of **SONORA, TX**.

The data are as of **12/31/2020**. Data reflects information that was received and processed through **01/05/2021**.

**Reconciliation and Verification Steps**

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the **Effective Date** column

**Actions**

**OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.

**Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.

**Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.

**Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.

**Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
ok		Full Service (Head Office)	591366	FIRST NATIONAL BANK OF SONORA, THE	102 E MAIN STREET	SONORA	TX	76950	SUTTON	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	
change	12/31/2020	Full Service	3924611	BOERNE WEST BANKING CENTER	122 WEST BANDERA ROAD	BOERNE	TX	78006	KENDALL	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	
ok		Full Service	5040814	BULVERDE BRANCH	512 SINGING OAKS	BULVERDE	TX	78070	COMAL	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	
ok		Full Service	3546321	SHERWOOD WAY BRANCH	5710 SHERWOOD WAY	SAN ANGELO	TX	76901	TOM GREEN	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	
ok		Full Service	3546330	SOUTHLAND BRANCH	2502 SOUTHLAND BLVD	SAN ANGELO	TX	76904	TOM GREEN	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	
add	10/9/2019	Full Service		WIMBERLEY BRANCH	100 STILLWATER	WIMBERLEY	TX	78676	HAYS	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	
change	12/31/2020	Full Service		BOERNE EAST BANKING CENTER	1570 RIVER ROAD	BOERNE	TX	78006	KENDALL	UNITED STATES	Not Required	Not Required	FIRST NATIONAL BANK OF SONORA, THE	591366	

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First Sonora Bancshares, Inc.  
 Sonora, Texas  
 Fiscal Year Ending 12/31/2020

Report Item 3: Securities Holders

Current Securities Holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12/31/2020			Securities Holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/2020 (but not at fiscal year-end)		
(1)(a) Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Jo Bess Jackson Dallas, Texas, USA	USA	2,518 - 9.05% Common stock	None	N/A	N/A
Nancy N. Law San Antonio, Texas, USA	USA	1,527 - 5.49% Common stock			
Alice Claire Jones Sonora, Texas, USA	USA	1,766 - 6.35% Common stock			

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First Sonora Bancshares, Inc.  
Sonora, Texas  
Fiscal Year Ending 12/31/2020

Report Item 4: Insiders

(1) Name & Address (City, State, Country)	(2) Principal Occupation, if other than with holding company	(3)(a) Title/Position with holding company	(3)(b) Title/Position with Subsidiaries (including subsidiary name)	(3)(c) Title/Position with Other Businesses (including business name)	(4)(a) Percentage of Voting Securities in holding company	(4)(b) Percentage of Voting Securities in Subsidiaries (including subsidiary name)	(4)(c) Percentage of Voting Securities in any other co. (including co. name) if ≥ 25%
Elizabeth Martin Sonora, Texas USA	Attorney	Director	Director - First National Bank of Sonora	President/CEO - E.R. Martin, LLC	0.68%	None	E. R. Martin, LLC - 100%
Jamie Akin Sonora, Texas USA	Education	Director	Director - First National Bank of Sonora	Vice President - Angelo State University	0.34%	None	NA
Edwin E. Sawyer, III Sonora, Texas USA	Ranching	Director	Director - First National Bank of Sonora	Owner -Sawyer Partners, Inc.	0.72%	None	Sawyer Partners, Inc. - 100%
Ronald J. Cox Brownwood, Texas USA	Pharmacist	Director	Director - First National Bank of Sonora	Owner - Cox Drug	0.55%	None	Cox Drug - 100%
Ben Pool Sonora, Texas USA	N/A	Director	Director - First National Bank of Sonora	N/A	2.04%	None	N/A
Israel Pena Boerne, Texas USA	Architect/Homebuilder	Director	Director - First National Bank of Sonora	Owner - Accord Homes Owner - Authentic Custom Homes Owner - Main Street Properties	0.36%	None	Accord Homes - 100% Authentic Custom Homes - 70% Main Street Properties - 100%
Robert A. Malone Sonora, Texas USA	Ranching	President/CEO Executive Chairman of the Board	President & CEO, Executive Chairman - First National Bank of Sonora	Owner - Israel Pena & Associates Owner - R&D Malone Ranches Partner - Lost Lake Ranch, L.P. Owner - Lost Lake Ranch Management, LLC Owner - Malone Consulting	3.60%	None	Israel Pena & Associates - 100% R&D Malone Ranches - 50% Lost Lake Ranch, L.P. - 25.25% Lost Lake Ranch Management, LLC - 25% Malone Consulting - 100%
Bridget Carr San Angelo, Texas USA	Exterminating & Pest Control Services	Director	Director – First National Bank of Sonora	N/A	0.08%	None	N/A
Lindsay Cranek San Angelo, TX USA	Ranching & Farming	Director	Director – First National Bank of Sonora	N/A	0.34%	None	N/A
Lisa Price Sonora, Texas USA	N/A	Vice President and Secretary	Board Secretary - First National Bank of Sonora	N/A	None	None	N/A
Laura Whitley San Angelo, Texas USA	N/A	Senior Vice President	N/A	N/A	None	None	N/A
Patrick Holt San Angelo, Texas USA	N/A	EVP/COO	N/A	N/A	None	None	N/A